

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation UNITED STATES-JAPAN FOUNDATION		A Employer identification number 13-3054425	
% CHRISTINE MANAPAT-SIMS			
Number and street (or P.O. box number if mail is not delivered to street address) 145 EAST 32ND STREET	Room/suite	B Telephone number (see instructions) (212) 481-8735	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10016		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>83,238,637</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	34,781			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,893,947	1,893,947		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	12,997,480			
	b Gross sales price for all assets on line 6a 70,839,226				
	7 Capital gain net income (from Part IV, line 2)		12,928,090		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	718,390	858,317		
	12 Total. Add lines 1 through 11	15,644,598	15,680,354		
	13 Compensation of officers, directors, trustees, etc.	599,221	100,431		498,790
	14 Other employee salaries and wages	531,372	16,958		514,414
	15 Pension plans, employee benefits	442,140	40,367		401,773
	16a Legal fees (attach schedule)	22,683	0	0	22,683
	b Accounting fees (attach schedule)	134,000	62,600	0	71,400
	c Other professional fees (attach schedule)	500,281	500,281		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	297,481			
	19 Depreciation (attach schedule) and depletion	40,797			
	20 Occupancy	419,166	14,360		404,806
	21 Travel, conferences, and meetings	285,049	65,485		219,564
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,052,854	5,810		988,755
	24 Total operating and administrative expenses. Add lines 13 through 23	4,325,044	806,292	0	3,122,185
	25 Contributions, gifts, grants paid	676,029			859,972
	26 Total expenses and disbursements. Add lines 24 and 25	5,001,073	806,292	0	3,982,157
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	10,643,525			
	b Net investment income (if negative, enter -0-)		14,874,062		
	c Adjusted net income (if negative, enter -0-)				

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		312,329	133,274	133,274
	2	Savings and temporary cash investments		419,198	45	45
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		24,864	22,704	22,704
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)		5,482,256	0	0
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)		68,475,540	82,576,510	82,576,510
	14	Land, buildings, and equipment: basis ▶ <u>1,734,501</u> Less: accumulated depreciation (attach schedule) ▶ <u>1,495,691</u>		279,607	238,810	238,810
Liabilities	15	Other assets (describe ▶ _____)		1,166,629	267,294	267,294
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		76,160,423	83,238,637	83,238,637
	17	Accounts payable and accrued expenses		130,696	207,753	
	18	Grants payable		140,607	5,000	
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)		153,455	290,560	
	23	Total liabilities (add lines 17 through 22).		424,758	503,313	
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.				
	24	Net assets without donor restrictions		75,735,665	82,735,324	
	25	Net assets with donor restrictions				
		Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)		75,735,665	82,735,324	
	30	Total liabilities and net assets/fund balances (see instructions) .		76,160,423	83,238,637	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 75,735,665
2	Enter amount from Part I, line 27a	2 10,643,525
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 86,379,190
5	Decreases not included in line 2 (itemize) ▶ _____	5 3,643,866
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6 82,735,324

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a KKR ENERGY INCOME & GROWTH FUND I-TE	P		
b SACHEM HEAD OFFSHORE LTD (PASS-THRU)	P		
c ALPHAGEN EUROPEAN BEST IDEAS (PASS-THRU)	P		
d OCA PATRIA (PASS-THRU)	P		
e OCA BREDS III (PASS-THRU)	P		
OCA SILVERLAKE V (PASS-THRU)	P		
HEALTHCARE ROYALTY (PASS-THRU)	P		
FARALLON LP (PASS-THRU)	P		
JP MORGAN POOLED (PASS-THRU)	P		
ANCHORAGE CAPITAL PARTNERS OFFSHORE	P		
CROSSHARBOR INSTITUTIONAL PARTNERS (PASS-THRU)	P		
BROAD RUN FOCUS EQUITY STRATEGY	P		
MARKETABLE SECURITIES	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			7,183
b			1,135,030
c			413,771
d			8,460
e			10,424
			2,173
			-301
			15,642
			-25,318
2,466,985		1,217,023	1,249,962
			79,533
3,448,982		2,423,901	1,025,081
64,923,259		55,916,809	9,006,450

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			
			1,249,962
			1,025,081
			9,006,450

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	12,928,090
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	3,799,575	80,982,488	0.046918
2017	4,578,221	80,057,115	0.057187
2016	4,840,061	76,655,624	0.06314
2015	6,140,517	86,612,575	0.070896
2014	4,177,619	88,565,391	0.04717
2 Total of line 1, column (d)			2 0.285311
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.057062
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line.5			4 79,366,378
5 Multiply line 4 by line 3			5 4,528,804
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 148,741
7 Add lines 5 and 6			7 4,677,545
8 Enter qualifying distributions from Part XII, line 4 ,			8 3,982,157
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	297,481
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	297,481
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	297,481
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	146,549
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	185,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	331,549
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	3,884
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	30,184
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax Refunded	11	
	30,184		

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ _____ (2) On foundation managers. \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address www.us-jf.org	13	Yes	
14	The books are in care of CHRISTINE MANAPAT-SIMS Telephone no. (212) 481-8753 Located at 145 EAST 32ND STREET NEW YORK NY 10016 ZIP+4 			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign		Yes	

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.

☒ Yes

☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes

☒ No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes

☒ No

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

☐ Yes

☒ No

Yes

No

5b

No

6b

No

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
James W Lintott 145 EAST 32ND STREET NEW YORK, NY 10016	Chairman 1.0	0	0	0
Akinari Horii 145 EAST 32ND STREET NEW YORK, NY 10016	Vice Chairman 1.0	0	0	0
George R Packard 145 EAST 32ND STREET NEW YORK, NY 10016	President (thru 10/2019) 40.0	287,500	47,600	0
JAMES T ULAK 145 EAST 32ND STREET NEW YORK, NY 10016	PRESIDENT (as of 11/2019) 40.0	41,667	0	0
TAKESHI UESHIMA 145 EAST 32ND STREET NEW YORK, NY 10016	BOARD SECRETARY 1.0	0	0	0
Wendy Cutler 145 EAST 32ND STREET NEW YORK, NY 10016	Trustee 1.0	0	0	0
RICHARD E DYCK 145 EAST 32ND STREET NEW YORK, NY 10016	Trustee 1.0	0	0	0
LAWRENCE K FISH 145 EAST 32ND STREET NEW YORK, NY 10016	Trustee 1.0	0	0	0
Yuko Kawamoto 145 EAST 32ND STREET NEW YORK, NY 10016	Trustee 1.0	0	0	0
CAROLINE B KENNEDY 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE 1.0	0	0	0
SATORU MURASE 145 EAST 32ND STREET NEW YORK, NY 10016	Trustee 1.0	0	0	0
TAK NIINAMI 145 EAST 32ND STREET NEW YORK, NY 10016	Trustee 1.0	0	0	0
RICHARD J SAMUELS 145 EAST 32ND STREET NEW YORK, NY 10016	Trustee 1.0	0	0	0
AKIHIKO TANAKA 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE 1.0	0	0	0
YUKAKO UCHINAGA 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE 1.0	0	0	0
MARIA CRISTINA MANAPAT-SIMS 145 EAST 32ND STREET NEW YORK, NY 10016	ASST. SECRETARY AND TREASURER 40.0	270,054	45,909	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DANIEL TANI	DIRECTOR OF GRANTS 40.0	168,000	9,520	0
145 EAST 32MD STREET NEW YORK,NY 10016				
TOMOYUKI WATANABE	JAPAN REPRESENTATIVE 40.0	143,881	24,438	0
145 EAST 32ND STREET NEW YORK,NY 10016				
KELLY NIXON	PROGRAM DIR - USJLP 40.0	134,499	22,865	0
145 EAST 32ND STREET NEW YORK,NY 10016				
TERESA L SHAM	EXECUTIVE SECRETARY 40.0	114,300	19,431	0
145 EAST 32ND STREET NEW YORK,NY 10016				
AMY AKO SHIMADA	ADMIN COORDINATOR 40.0	67,832	11,548	0
145 EAST 32ND STREET NEW YORK,NY 10016				
Total number of other employees paid over \$50,000. ▶				2

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
OFFIT ADVISORS	INVESTMENT ADVISORY	205,504
140 BROADWAY NEW YORK,NY 10005		
BERSON & CORRADO	ACCOUNTING SERVICES	90,000
25 WEST 43RD STREET NEW YORK,NY 10036		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 US-JAPAN LEADERSHIP PROGRAM - A PROGRAM WHICH DEVELOPS A NETWORK AMONG GENERATIONS OF LEADERS IN EACH COUNTRY THROUGH CONFERENCES, A WEBSITE, NEWSLETTERS AND FREQUENT REUNIONS.	887,547
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	79,570,338
b	Average of monthly cash balances.	1b	324,287
c	Fair market value of all other assets (see instructions).	1c	680,378
d	Total (add lines 1a, b, and c).	1d	80,575,003
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	80,575,003
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,208,625
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	79,366,378
6	Minimum investment return. Enter 5% of line 5.	6	3,968,319

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	3,968,319
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	297,481
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	297,481
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	3,670,838
4	Recoveries of amounts treated as qualifying distributions.	4	42,167
5	Add lines 3 and 4.	5	3,713,005
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	3,713,005

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,982,157
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,982,157
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,982,157

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					3,713,005
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only.				0	
b Total for prior years: 2017, 2016, 2015			0		
3 Excess distributions carryover, if any, to 2019:					
a From 2014.					
b From 2015.					237,896
c From 2016.					1,000,746
d From 2017.					591,041
e From 2018.					
f Total of lines 3a through e.		1,829,683			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 3,982,157					
a Applied to 2018, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2019 distributable amount					3,713,005
e Remaining amount distributed out of corpus		269,152			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 Enter the net total of each column as indicated below:		2,098,835			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5					
b Prior years' undistributed income. Subtract line 4b from line 2b.			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount—see instructions.			0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.				0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).					
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)					
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a		2,098,835			
10 Analysis of line 9:					
a Excess from 2015		237,896			
b Excess from 2016		1,000,746			
c Excess from 2017.		591,041			
d Excess from 2018					
e Excess from 2019		269,152			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
(1)	Value of all assets					
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test—enter:					
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3)	Largest amount of support from an exempt organization					
(4)	Gross investment income					

Part XV

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List all managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b

List all managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

GRANT ADMINISTRATOR - CO USJF
145 EAST 32ND STREET
NEW YORK, NY 10016
(212) 481-8761

b

The form in which applications should be submitted and information and materials they should include:

APPLICATION SHOULD BE LESS THAN 3 PAGES AND INCLUDE THE FOLLOWING: 1) DESCRIPTION OF APPLICANT INCLUDING TAX EXEMPT STATUS 2) SUMMARY OF PROPOSED PROJECT 3) PRESENT SOURCE OF FUNDS 4) AMOUNT OF PROPOSED GRANT

c

Any submission deadlines:

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

INDIVIDUALS APPLYING ON THEIR OWN BEHALF FOR INDEPENDENT STUDY, RESEARCH, TRAVEL OR PARTICIPATION IN MEETINGS ARE NOT ELIGIBLE.

Part XV

Supplementary Information (continued)

3

Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Ashoka Japan 1-11-2 Hiroo 407 Shibuya Tokyo 150-0012 JA	NONE	P C	To support the Changemaker's Program which identifies and guides outstanding young leaders.	18,337
Global Fund For Education Assistance (Beyond Tomor c/o ETIC 4F 1-5-7 Jinnan Shibuya Tokyo 150-0041 JA	NONE	P C	To support the 2019 Jiro Murase Scholarship Program, which brings Japanese students to the United States to learn about legal and political affairs.	56,752
Global Fund For Education Assistance (Beyond Tomor c/o ETIC 4F 1-5-7 Jinnan Shibuya Tokyo 150-0041 JA	NONE	P C	To support the Beyond Tomorrow program to assist underprivileged Japanese youth including a trip to the US.	43,066
Working Mothers Association of Japan Win Aoyama 1214 2-2-15 Tokyo 107-0062 JA	NONE	P C	To support a program for junior high school students from single-parent households with an interest in the STEM field.	57,006
AmerAsian School In Okinawa 1-15-22 Shimashi Ginowan Okinawa Okinawa 901-2213 JA	NONE	P C	To support funding a principal and teacher for an independent school in Okinawa targeted at Japanese-American students and other students that have difficulty fitting into the Japanese public school system.	54,409
The International House of Japan Inc 5-11-16 Roppongi Minato-ku Tokyo Tokyo 106-0032 JA	NONE	P C	To support a series of leadership sessions for young Asia Pacific leaders	29,610
Concordia Language Villages 901 8th Street S Moorhead,MN 56562	NONE	P C	To support a program to strengthen the teaching of the Japanese language in the US.	30,000
Creative Connections 303 West Ave Norwalk,C T 06850	NONE	P C	To support transition funding for the US/Japan Artlink program	5,000
Japan-America Society of Washington D C 1819 L St NW - B2 Washington,D C 20036	NONE	P C	To support the annual Japan Bowl competition for US high school teams	35,000
Kizuna Across Cultures 718 7th St NW 2nd Flr Washington,D C 20001	NONE	P C	To support a classroom to classroom virtual exchanges	55,000
Midori Foundation Inc 352 7th Ave Ste 301 New York,NY 10001	NONE	P C	To support transition funding for in-school music and cultural programming.	10,000
Sumter County Schools 100 Learning Wy Americus,GA 31709	NONE	P C	To support the high school exchange program between Sumter County (GA) and Miyoshi, Japan.	52,050
Table for Two USA PO Box 1103 New York,NY 10163	NONE	P C	To support the Wa-Shokuiku project, which brings Japanese food and culture experiences to elementary school children.	68,505
US-Japan Technical Connections Inc 2233 Oxbow Rd South Royalton,V T 05068	NONE	P C	To support an exchange program that brings rural US students to Fukuoka for a work study program.	13,000
Council on Foreign Relations NY 58 E 68th St New York,NY 10065	NONE	P C	To support the creation of an infoGuide to educate and explain the current Japanese constitutional debate.	35,322
Independent Filmmaker Project 30 John St Brooklyn,NY 11201	NONE	P C	To support a documentary film about national food education program in Japan titled Nourishing Japan.	30,361
National Association of Japan-America Societies I 1819 L St NW Ste 800 Washington,D C 20036	NONE	P C	To support the administration and logistics of the Grassroots Summit.	15,000
Brooklyn Japanese Dual Language Association Inc PO Box 220251 Brooklyn,NY 11222	NONE	P C	To support the salary of a Japanese language teacher in an existing dual language elementary school.	37,048

Del Norte County Schools Del Norte High School 1301 El Dorado Street Crescent City, C A 95531	NONE	P C	To support an exchange program between California and Iwate High School that were brought together by a wayward boat lost in the 2011 Tsunami.	22,400
EngageAsia 80 Theodore Fremd Ave Rye, NY 10580	NONE	P C	To support EngageAsias solicitation, selection, and travel costs as part of the 2019 Elgin Heinz Award.	2,500
EngageAsia 80 Theodore Fremd Ave Rye, NY 10580	NONE	P C	To support funding for the selection of the 2020 and 2021 Elgin Heinz Outstanding Teacher Awards.	5,000
Japan Society of San Diego and Tijuana 4883 Ronson Ct Ste T San Diego, C A 09211	NONE	P C	To support a day-long high school competition focused on Japanese language and culture.	4,000
Stanford University The Board of Trustees of the 485 Broadway Redwood, C A 94063	NONE	P C	To support the extension of the 2020 e-Japan and Reischauer Scholars Program award ceremony.	0
Trustees of Dartmouth College 41 Centerra Pkwy Lebanon, NH 03766	NONE	P C	To support a project to assess the Japanese citizen's perception of Self-Defense Forces	39,000
Asia Policy Point 1730 Rhode Island Ave NW 414 Washington, D C 20036	NONE	P C	To support their Historians Luncheon: a discussion about Bataans POWs, to be held on May 31, 2019 in Norfolk, Virginia.	600
Board of Regents of the University of Nebraska 987835 Nebraska Medical Center Omaha, NE 681987835	NONE	P C	To support a knowledge exchange between the US and Japan concerning screening, assessment, and treatment of abuse victims.	73,441
National Bureau of Asian Research 1819 L Street NW 9th Flr Washington, D C 20036	NONE	P C	To support episodes of the NBR "Asia Insight" Podcast which will focus on the dynamics of Asia as it affects the US-Japan relationship.	13,101
New York University (US-Asia Law Institute) 665 Broadway Ste 801 New York, NY 100122331	NONE	P C	To support a project to bring US expertise to Japan to study miscarriage of justice	25,000
Obon Society PO Box 282 Astoria, OR 97103	NONE	P C	To support the discovery, identification, and return of Japanese war flags to their Japanese families.	20,000
The Film Collaborative Inc 3405 Cazador St Los Angeles, C A 90065	NONE	P C	To support the short-term filming and production activities for the creation of a film about Reverend TK Nakagaki and his book The Buddhist Swastika and Hitlers Cross.	5,000
Tucson Repertory Orchestra 2634 E 4th St Tuscon, A Z 85716	NONE	P C	To support a US-Japan joint orchestra concert in Osaka	4,464
Total ▶ 3a				859,972
b <i>Approved for future payment</i> BOARD OF TRUSTEES LELAND STANFORD JUNIOR UNIV 485 BROADWAY MAIL CODE 8838 REDWOOD, C A 940633136	NONE	P C	TO SUPPORT THE EXTENSION OF THE 2020 E-JAPAN AND REISCHAUER SCHOLARS PROGRAM AWARD CEREMONY.	5,000
Total ▶ 3b				5,000

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
Enter gross amounts unless otherwise indicated.				
1 Program service revenue:				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments. . . .				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities				
		14	1,893,947	
5 Net rental income or (loss) from real estate:				
a Debt-financed property.				
b Not debt-financed property.				
6 Net rental income or (loss) from personal property				
7 Other investment income.				
8 Gain or (loss) from sales of assets other than inventory				
525990	69,390	18	12,928,090	
9 Net income or (loss) from special events:				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a PASS THRU INCOME/LOSS FROM LIMITED PARTNERSHIPS				
525990	-139,927	18	860,776	
b FOREIGN CURRENCY EXCHANGE LOSS				
		01	-2,459	
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e). .				
	-70,537		15,680,354	
13 Total. Add line 12, columns (b), (d), and (e). 13 15,609,817				
(See worksheet in line 13 instructions to verify calculations.)				

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash.

(2) Other assets.

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets. . . .

(4) Reimbursement arrangements.

(5) Loans or loan guarantees. . . .

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2020-11-04

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below
(see instr.) ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

MARIE ARRIGO

Preparer's Signature

Date _____

Check if self-employed ☐

PTIN

P00058583

Firm's name ► EISNERAMPER LLP

Firm's address ► 733 THIRD AVENUE

NEW YORK, NY 100172703

Phone no.
(212) 949-8700

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.	OMB No. 1545-0047
		2019
Name of the organization UNITED STATES-JAPAN FOUNDATION		Employer identification number 13-3054425

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization UNITED STATES-JAPAN FOUNDATION	Employer identification number 13-3054425
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Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$ 10,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
2	MITSUBISHI 655 3RD AVE NEW YORK, NY 10017	\$ 18,472	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization UNITED STATES-JAPAN FOUNDATION	Employer identification number 13-3054425
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Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	<div></div> <div></div> <div></div>	<div></div> \$	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	<div></div> <div></div> <div></div>	<div></div> \$	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	<div></div> <div></div> <div></div>	<div></div> \$	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	<div></div> <div></div> <div></div>	<div></div> \$	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	<div></div> <div></div> <div></div>	<div></div> \$	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	<div></div> <div></div> <div></div>	<div></div> \$	<div></div>

Name of organization UNITED STATES-JAPAN FOUNDATION	Employer identification number 13-3054425
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	<div></div>	<div></div>	
	<div></div>	<div></div>	
	<div></div>	<div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	<div></div>	<div></div>	
	<div></div>	<div></div>	
	<div></div>	<div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	<div></div>	<div></div>	
	<div></div>	<div></div>	
	<div></div>	<div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
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Additional Data

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Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	90,000	45,000		45,000
AUDIT AND TAX RETURN				
PREPARATION FEES	44,000	17,600		26,400

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 IRS 990 e-File Render

Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
APARTMENT		790,725	612,811	SL	40	19,768			
APARTMENT IMPROVEM		241,370	192,279	SL	27	7,303			
LEASEHOLD IMPROVE		249,581	233,754	SL	15	1,704			
EQUIPMENT		200,478	171,570	SL	7	10,851			
FURNITURE & FIXTUR		252,347	244,480	SL	7	1,171			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 IRS 990 e-File Render

Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
THE INTERNATIONAL HOUSE OF JAPAN INC	5-11-16 ROPPONGI MINATO-KU TOKYO 106-0032 JA	2019-10-27	29,610	TO SUPPORT A SERIES OF LEADERSHIP SESSION FOR YOUNG ASIA PACIFIC LEADERS	29,610	NO	ANNUALLY		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF THE RELIABILITY OF THE REPORTS FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
AMERASIAN SCHOOL IN OKINOWA	1-15-22 SHIMASHI GINOWAN OKINOWA 901-2213 JA	2019-10-27	54,409	FUNDING A PRINCIPAL AND TEACHER FOR AN INDEPENDENT SCHOOL TARGETED AT JAPANESE-AMERICAN STUDENTS	54,409	NO	ANNUALY		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF THE RELIABILITY OF THE REPORTS FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
WORKING MOTHERS ASSOCIATION OF JAPAN	WIN AOYAMA 1214 2-2-15 MINAMI AY TOKYO 107-0062 JA	2018-10-28	57,006	SUPPORT 2019 PROGRAM FOR HIGH SCHOOL STUDENTS FROM SINGLE-PARENT HOMES INTERESTED IN STEM FIELD	57,006	NO	ANNUALLY		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF THE RELIABILITY OF THE REPORTS FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
GLOBAL FUND FOR EDUCATION ASSISTANCE	1-5-7 JINNAN SHIBYA-KU TOKYO, SHIBUYA 150-0041 JA	2018-09-28	56,752	TO BRING JAPANESE STUDENTS TO THE UNITED STATES TO LEARN ABOUT LEGAL AND POLITICAL AFFAIRS	56,752	NO	ANNUALLY		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF THE RELIABILITY OF THE REPORTS FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
GLOBAL FUND FOR EDUCATIONAL ASSISTANCE	1-5-7 JINNAN TOKYO, SHIBYA-KU 150-0041 JA	2019-09-27	43,066	SUPPORT THE BEYOND TOMORROW PROGRAM TO ASSIST UNDER-PRIVILEGED JAPANESE YOUTH AND TRIP TO U.S.	43,066	NO	ANNUALLY		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF THE RELIABILITY OF THE REPORTS FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
ASHOKA JAPAN	1-11-2 HIROO 407 SHIBUYA TOKYO 150-0012 JA	2019-09-27	18,337	SUPPORT CHANGEMAKER PROGRAM WHICH IDENTIFIES AND GUIDES OUTSTANDING YOUNG LEADERS	18,337	NO	ANNUALLY		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF THE RELIABILITY OF THE REPORTS FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.

Name: UNITED STATES-JAPAN FOUNDATION
EIN: 13-3054425

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADIENT PLC	0	0
AECOM TECHNOLOGY CORP	0	0
AERCAP HOLDINGS NV	0	0
AFFILIATED MANAGERS	0	0
AFLAC INC	0	0
ALPHABET INC CL C	0	0
AMERICAN WOODMARK CORP	0	0
AMERIPRISE FINL	0	0
AMERN TOWER CORP	0	0
AMETEK INC	0	0
ANTHEM INC	0	0
AON PLC	0	0
ARROW ELECTRONICS	0	0
ASHTeAD GROUP PLC	0	0
ASSURANT INC	0	0
AVIS BUDGET GROUP	0	0
BROADCOM INC	0	0
BROOKFIELD ASSET MGMT	0	0
CARMAX INC	0	0
CELANESE CORP	0	0
CHARLES SCHWAB CORP	0	0
COMMScope HOLDING CO	0	0
CROWN HOLDINGS INC	0	0
ENCORE CAPITAL GROUP	0	0
EOG RESOURCES INC	0	0
FACEBOOK INC	0	0
FLEXTRONICS INTL LTD F	0	0
GOODYEAR TIRE RUBBER	0	0
HCA HEALTHCARE INC	0	0
HERTZ RENTAL CAR HOLDING	0	0
HEXCEL CORP	0	0
JOHNSON CONTROLS INTER F	0	0
LINCOLN NATIONAL CO	0	0
MARKEL CORP	0	0
MARLIN BUSINESS SERVICES	0	0
METRO BANK PLC	0	0
MICROCHIP TECHNOLOGY	0	0
NATIONAL OILWELL VARCO INC	0	0
NCR CORP	0	0
NVR INC	0	0
O REILLY AUTOMOTIVE	0	0
OWENS ILLINOIS INC	0	0
QURATE RETAIL INC	0	0
SRIVE SHACK INC	0	0
SUNCOR ENERGY INC	0	0
TECH DATA CORP	0	0
TENNECO INC	0	0
TWENTY-FIRST CENTURY FOX	0	0
WESTERN DIGITAL CORP	0	0
WESTERN UNION CO	0	0
WHIRLPOOL CORP	0	0
WILLIS TOWERS WATSON PF	0	0

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Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALPHAGEN EUROPEAN BEST IDEAS F	FMV	0	0
ANCHRGE CAP PRTNRS OFFSHRE LTD	FMV	0	0
ARIEL INTERNATIONAL	FMV	0	0
ANGEL OAK MULTI-STRAT	FMV	0	0
CROSSHARDBOR INSTITUTIONAL PAR	FMV	1,204,091	1,204,091
DOUBLELINE	FMV	0	0
EATON VANCE MUTUAL FUND	FMV	0	0
FARALON CAP MGT PRTNRS LP	FMV	132,526	132,526
EDGEWOOD GROWTH FUND	FMV	0	0
HEALTHCARE ROYALTY	FMV	1,660,689	1,660,689
ISHARES MSCI JAPAN INDEX FUND	FMV	0	0
JP MRGN EURO POOL CORP FIN INV	FMV	0	0
VANGUARD SML CAP INDX-ADM	FMV	0	0
JP MRGN US POOLED CORP FIN INV	FMV	241,131	241,131
KKR ENERGY INCOME & GROWTH FND	FMV	1,436,362	1,436,362
MATHEWS ASIA DIV INSTL	FMV	0	0
OCA BREDS III	FMV	1,227,186	1,227,186
OCA CAPITAL SOLUTIONS OVERSEAS	FMV	338,308	338,308
OCA LCP VIII - 1163	FMV	1,661,140	1,661,140
OCA PATRIA - 1144	FMV	1,764,330	1,764,330
OCA SILVERLAKE	FMV	849,988	849,988
OCA LMI IV SEG	FMV	101,774	101,774
VANGUARD ULTRA SHORT	FMV	0	0
SACHERN HEAD OFFSHORE	FMV	0	0
VANGUARD 500 INDEX FUND	FMV	0	0
VANGUARD FTSE EMERGING MKTS	FMV	0	0
VANGUARD INTL STOCK INDEX	FMV	28,802,051	28,802,051
VANGUARD TOTAL BOND MKT INDEX	FMV	14,363,648	14,363,648
VANGUARD TOTAL STOCK MKT INDEX	FMV	28,793,286	28,793,286

TY 2019 IRS 990 e-File Render

Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
APARTMENT	790,725	632,579	158,146	
APARTMENT IMPROVEM	241,370	199,582	41,788	
LEASEHOLD IMPROVE	249,581	235,458	14,123	
EQUIPMENT	200,478	182,421	18,057	
FURNITURE & FIXTUR	252,347	245,651	6,696	

TY 2019 IRS 990 e-File Render

Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MCLAUGHLIN & STERN LLP	17,815			17,815
OTHER LEGAL FEES	4,868			4,868

TY 2019 IRS 990 e-File Render

Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSITS	35,508	35,508	35,508
INTEREST RECEIVABLE	2,151	0	0
DUE FROM BROKER	1,128,970	231,786	231,786

TY 2019 IRS 990 e-File Render

Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Description	Amount
UNREALIZED DEPRECIATION ON INVESTMENTS	0
NET OF CHANGE IN DEFERRED EXCISE TAX	3,643,866

TY 2019 IRS 990 e-File Render

Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL OFFICE AND MISC.	102,370	5,119		38,963
PROGRAM PROJECT/DEVELOPMENT	4,159			4,159
TELEPHONE AND FACSIMILE	10,296	515		9,781
DUES	7,953			7,953
POSTAGE AND MESSENGER	3,529	176		3,352
EXECUTIVE SEARCH FEES	37,000			37,000
US-JAPAN LEADERSHIP PROGRAM	887,547			887,547

TY 2019 IRS 990 e-File Render

Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NET PARTNERSHP PASS-THRU Income - other	720,849	860,776	
Foreign currency exchange loss	-2,459	-2,459	

TY 2019 IRS 990 e-File Render

Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAXES PAYABLE	153,455	54,628
FEDERAL EXCISE TAXES PAYABLE	0	235,932

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Name: UNITED STATES-JAPAN FOUNDATION

EIN: 13-3054425

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY AND				
MANAGEMENT FEES	500,281	500,281		

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Name: UNITED STATES-JAPAN FOUNDATION
EIN: 13-3054425

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAXES	297,481			