

EXTENSION ATTACHED

OMB No 1545-0052

Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2001Department of the Treasury
Internal Revenue Service

Note: The organization may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2001, or tax year beginning

, 2001, and ending

G Check all that apply ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label
Otherwise,
print
or type.
See Specific
Instructions.

Name of organization

UNITED STATES-JAPAN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

145 EAST 32ND STREET

City or town, state, and ZIP code

NEW YORK, NY 10016

A Employer identification number

13-3054425

B Telephone number (see page 10 of the instructions)

(212) 481-8761

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col (c), line 16) ☐ Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____

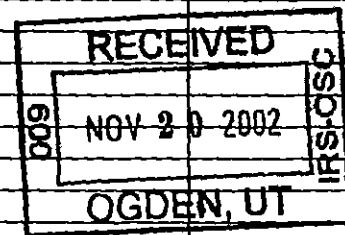
16) 86,979,675.

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 10 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule). Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B				
2 Distributions from split-interest trusts				
3 Interest on savings and temporary cash investments	3,278.	3,278.		
4 Dividends and interest from securities	2,879,290.	2,879,290.		
5a Gross rents				
b (Net rental income or (loss))				
6a Net gain or (loss) from sale of assets not on line 10	-6,076,175.			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	-3,193,607.	2,882,568.	NONE	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	425,567.	87,933.		337,634.
14 Other employee salaries and wages	231,174.			231,174.
15 Pension plans, employee benefits	199,637.	25,600.		174,037.
16a Legal fees (attach schedule) STMT 1	11,189.	5,595.	NONE	5,594.
b Accounting fees (attach schedule) STMT 2	102,000.	48,000.	NONE	54,000.
c Other professional fees (attach schedule) STMT 3	663,308.	663,050.		258.
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)				
19 Depreciation (attach schedule) and depletion	82,604.	4,130.		
20 Occupancy	238,577.	8,013.		230,564.
21 Travel, conferences, and meetings	381,852.	96,104.		285,748.
22 Printing and publications	1,168.			1,168.
23 Other expenses (attach schedule) STMT 4	551,531.	3,726.		547,805.
24 Total operating and administrative expenses. Add lines 13 through 23	2,888,607.	942,151.	NONE	1,867,982.
25 Contributions, gifts, grants paid STMT 5	3,709,143.			4,770,126.
26 Total expenses and disbursements. Add lines 24 and 25	6,597,750.	942,151.	NONE	6,638,108.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-9,791,357.			
b Net investment income (if negative, enter -0-)		1,940,417.		
c Adjusted net income (if negative, enter -0-)			NONE	



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See the instructions

Form 990-PF

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	132,484.	126,636.	126,636.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	6,662.	6,921.	6,921.
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) . STMT 6	53,993,843.	46,912,861.	46,912,861.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment: basis			
Less accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule) STMT 6	44,783,193.	38,945,975.	38,945,975.	
14 Land, buildings, and equipment: basis	2,043,198.			
Less accumulated depreciation ▶ STMT 4A	1,235,897.	807,301.	807,301.	
15 Other assets (describe ▶ STMT 7)	193,096.	179,981.	179,981.	
16 Total assets (to be completed by all filers - see page 16 of the instructions. Also, see page 1, item I)	99,997,997.	86,979,675.	86,979,675.	
Liabilities	17 Accounts payable and accrued expenses	108,614.	72,678.	
	18 Grants payable	3,342,737.	2,211,228.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ STMT 8)		330,180.	
23 Total liabilities (add lines 17 through 22)	3,451,351.	2,614,086.		
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	96,546,646.	84,365,589.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Organizations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see page 17 of the instructions)	96,546,646.	84,365,589.		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	99,997,997.	86,979,675.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	96,546,646.
2 Enter amount from Part I, line 27a	2	-9,791,357.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	146,768.
4 Add lines 1, 2, and 3	4	86,902,057.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	2,536,468.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	84,365,589.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(j) F M V as of 12/31/69	(l) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	STMT BA -6,076,175	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2000	7,443,834	105,327,489	0.07067323137
1999	5,571,752	100,004,976	0.05571474763
1998	5,635,213	96,675,558	0.05828994543
1997	5,235,539	95,422,703	0.05486680670
1996	5,555,310	89,529,881	0.06204978648
2 Total of line 1, column (d)			2 0.30159451761
3 Average distribution ratio for the 5-year base period-divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.06031890352
4 Enter the net value of noncharitable-use assets for 2001 from Part X, line 5			4 89,101,320
5 Multiply line 4 by line 3			5 5,374,494
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 19,404
7 Add lines 5 and 6			7 5,393,898
8 Enter qualifying distributions from Part XII, line 4			8 6,641,314

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	19,404.
c	All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	19,404.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	19,404.
6	Credits/Payments		
a	2001 estimated tax payments and 2000 overpayment credited to 2001	6a	112,768.
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	112,768.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	93,364.
11	Enter the amount of line 10 to be Credited to 2002 estimated tax <input type="checkbox"/> 20,000. Refunded <input type="checkbox"/>	11	73,364.

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities.		X
c Did the organization file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the organization <input type="checkbox"/> \$ NONE (2) On organization managers <input type="checkbox"/> \$ NONE		
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers <input type="checkbox"/> \$ NONE		
2 Has the organization engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the organization have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> NEW YORK		
b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV on page 25)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application?	X	
Web site address <input type="checkbox"/> WWW.US-JF.ORG		
12 The books are in care of <input type="checkbox"/> UNITED STATES-JAPAN FOUNDATION Telephone no <input type="checkbox"/> 212-481-8761 Located at <input type="checkbox"/> 145 EAST 32ND STREET NEW YORK, NEW YORK ZIP+4 <input type="checkbox"/> 10016		
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/>		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies		Yes	No
1 a	During the year did the organization (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception: Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 19 of the instructions)?	1b	X
	Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c	Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2001?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	If "Yes," list the years		
b	Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 19 of the instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3 a	Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2001 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2001)	3b	N/A
4 a	Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?	4b	X
5 a	During the year did the organization pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	5b	N/A
	Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6 a	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	X
	If you answered "Yes" to 6b, also file Form 8870		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions):

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		425,567.	62,466.	NONE

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 21 of the instructions). If none, enter "NONE"

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES L. SCHOFF C/O USJF	PROGRAM OFFICER FULL-TIME	85,740.	14,576.	NONE
JILL C. VILLATORO C/O USJF	USJ LEADER FULL-TIME	85,229.	14,489.	NONE

Total number of other employees paid over \$50,000 **NONE****3** Five highest-paid independent contractors for professional services - (see page 21 of the instructions) If none, enter "NONE"

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BERSON & CORRADO 25 WEST 43RD STREET NY, NY 10036	ACCOUNTING	72,000.

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 21 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 <u>NONE</u>	
2	
All other program-related investments See page 22 of the instructions	
3 <u>NONE</u>	
Total Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 22 of the instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	89,115,635.
b Average of monthly cash balances	1b	227,834.
c Fair market value of all other assets (see page 22 of the instructions)	1c	1,114,724.
d Total (add lines 1a, b, and c)	1d	90,458,193.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	NONE
3 Subtract line 2 from line 1d	3	90,458,193.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 23 of the instructions)	4	1,356,873.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	89,101,320.
6 Minimum investment return Enter 5% of line 5	6	4,455,066.

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1 Minimum investment return from Part X, line 6	1	4,455,066.
2a Tax on investment income for 2001 from Part VI, line 5	2a	19,404.
b Income tax for 2001 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	19,404.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	4,435,662.
4a Recoveries of amounts treated as qualifying distributions	4a	NONE
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	NONE
5 Add lines 3 and 4c	5	4,435,662.
6 Deduction from distributable amount (see page 23 of the instructions)	6	NONE
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	4,435,662.

Part XII Qualifying Distributions (see page 23 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,638,108.
b Program-related investments - Total from Part IX-B	1b	NONE
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	3,206.
3 Amounts set aside for specific charitable projects that satisfy the		
a Siftability test (prior IRS approval required)	3a	NONE
b Cash distribution test (attach the required schedule)	3b	NONE
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8 and Part XIII, line 4	4	6,641,314.
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 24 of the instructions)	5	19,404.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,621,910.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1 Distributable amount for 2001 from Part XI, line 7				4,435,662.
2 Undistributed Income, if any, as of the end of 2000				
a Enter amount for 2000 only			NONE	
b Total for prior years <u>1999</u>		NONE		
3 Excess distributions carryover, if any, to 2001				
a From 1996	5,555,310.			
b From 1997	5,235,289.			
c From 1998	882,962.			
d From 1999	718,304.			
e From 2000	2,402,996.			
f Total of lines 3a through e	14,794,861.			
4 Qualifying distributions for 2001 from Part XII, line 4 ▶ <u>6,641,314</u>				
a Applied to 2000, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see page 24 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 24 of the instructions)	NONE			
d Applied to 2001 distributable amount				4,435,662.
e Remaining amount distributed out of corpus	2,205,652.			
5 Excess distributions carryover applied to 2001 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	17,000,513.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see page 25 of the instructions		NONE		
e Undistributed income for 2000 Subtract line 4a from line 2a. Taxable amount - see page 25 of the instructions			NONE	
f Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 25 of the instructions)	NONE			
8 Excess distributions carryover from 1996 not applied on line 5 or line 7 (see page 25 of the instructions)	5,555,310.			
9 Excess distributions carryover to 2002. Subtract lines 7 and 8 from line 6a	11,445,203.			
10 Analysis of line 9				
a Excess from 1997	5,235,289.			
b Excess from 1998	882,962.			
c Excess from 1999	718,304.			
d Excess from 2000	2,402,996.			
e Excess from 2001	2,205,652.			

Part XIV Private Operating Foundations (see page 25 of the instructions and Part VII-A, question 9)**NOT APPLICABLE**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2001, enter the date of the ruling ▶

b Check box to indicate whether the organization is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2001

(b) 2000

(c) 1999

(d) 1998

(e) Total

b 85% of line 2a

c Qualifying distributions from Part XII line 4 for each year listed . .

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income . .

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year - see page 26 of the instructions)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ If the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds

If the organization makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

GRANT ADMINISTRATOR - USJE - 212-481-8761

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT 14

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

INDIVIDUALS APPLYING ON THEIR OWN BEHALF FOR INDEPENDENT STUDY, RESEARCH, TRAVEL OR PARTICIPATION IN MEETINGS ARE NOT ELIGIBLE.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 13A				4,770,126.
Total			► 3a	4,770,126.
b Approved for future payment SEE STATEMENT 13A				2,211,228.
Total			► 3b	2,211,228.

Part XVII

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting organization to a noncharitable exempt organization of
- (1) Cash
- (2) Other assets
- b** Other Transactions
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2 a** Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

George R. Richard
Signature of officer or trustee

Date _____

Title

Sign Here

Preparer's
signature

Date _____

Check if self-employed

Preparer's SSN or PTIN
(See Signature on page 28
of the instructions)

Firm's name (or yours
if self-employed), address,
and ZIP code

EN ►

Phone no

- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II and check this box ☒ **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (not automatic) 3-Month Extension of Time - Must File Original and One Copy.

Type or print

File by the extended due date for filing the return. See instructions.

Name of Exempt Organization

UNITED STATES-JAPAN FOUNDATION

Number, street, and room or suite no. If a P.O. box, see instructions

145 EAST 32ND STREET

City, town or post office, state, and ZIP code. For a foreign address, see instructions

NEW YORK, NY 10016

Employer identification number

13-3054425

For IRS use only

Check type of return to be filed (File a separate application for each return)

☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec. 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP. Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 11/15/2002
- 5 For calendar year 2001, or other tax year beginning _____ and ending _____
- 6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension AWAITING THIRD PARTY INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN

- 8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. \$ 112,768.
- b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. \$ 112,768
- c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. \$ NONE

Signature and Verification

Under penalties of perjury I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete and that I am authorized to prepare this form.

Signature ▶

Title ▶ CPA

Date ▶

AUG 13 2002

Notice to Applicant - To Be Completed by the IRS

- ☒ We have approved this application. Please attach this form to the organization's return.
- ☐ We have not approved this application. However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions). This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return. Please attach this form to the organization's return.
- ☐ We have not approved this application. After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file. We are not granting a 10-day grace period.
- ☐ We cannot consider this application because it was filed after the due date of the return for which an extension was requested.
- Other _____

EXTENSION APPROVED

AUG 28 2002

Director

By

LINDA WEISKOPF, FIELD DIRECTOR
SUBMISSION PROCESSING, OGDEN

Alternate Mailing Address - Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above.

Type or print

Name

EISNER LLP ATTN: KINROSS CHAPMAN

Number and street (include suite, room, or apt. no.) Or a P.O. box number

750 THIRD AVENUE

City or town, province or state, and country (including postal or ZIP code)

NEW YORK, NY 10017-2703

JSA

• If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II and check this box ☒ **X**

Note: Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (not automatic) 3-Month Extension of Time - Must File Original and One Copy.

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization UNITED STATES-JAPAN FOUNDATION	Employer identification number 13-3054425
	Number, street, and room or suite no. If a P.O. box, see instructions 145 EAST 32ND STREET	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10016	

Check type of return to be filed (File a separate application for each return)

☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868

• If the organization does not have an office or place of business in the United States, check this box, ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15/2002
 5 For calendar year 2001, or other tax year beginning _____ and ending _____
 6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
 7 State in detail why you need the extension AWAITING THIRD PARTY INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. \$ 112,768.
 b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. \$ 112,768
 c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. \$ NONE

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐ Title CPA Date ☐

Notice to Applicant - To Be Completed by the IRS

☐ We have approved this application. Please attach this form to the organization's return.
☐ We have not approved this application. However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions). This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return. Please attach this form to the organization's return.
☐ We have not approved this application. After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file. We are not granting a 10-day grace period.
☐ We cannot consider this application because it was filed after the due date of the return for which an extension was requested.
☐ Other _____

Director _____ By _____ Date _____

Alternate Mailing Address - Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above.

Type or print JSA	Name EISNER LLP ATTN: KINROSS CHAPMAN
	Number and street (include suite, room, or apt. no.) Or a P.O. box number 750 THIRD AVENUE
	City or town, province or state, and country (including postal or ZIP code) NEW YORK, NY 10017-2703

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
MISCELLANEOUS LEGAL FEES	11,189.	5,595.		5,594
TOTALS	11,189.	5,595.	NONE	5,594

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	72,000.	36,000.		36,000
AUDIT FEES	30,000.	12,000.		18,000
TOTALS	102,000.	48,000.	NONE	54,000

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
INVESTMENT FEES	662,940.	662,940.	NONI
CONSULTING FEES	368.	110.	258
	-----	-----	-----
TOTALS	663,308.	663,050.	258
	=====	=====	=====

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
GENERAL OFFICE AND MISC.	59,079.	2,954.	56,125.
PROGRAM PROJECT/DEVELOPMENT	16,426.		16,426.
TELEPHONE AND FACSIMILE	9,559.	478.	9,081.
MEMBERSHIP	14,169.		14,169.
POSTAGE AND MESSENGER	5,872.	294.	5,578.
US-JAPAN LEADERSHIP PROGRAM	446,426.		446,426.
TOTALS	551,531.	3,726.	547,805.

United States-Japan Foundation
Depreciation
As of December 31, 2001
EIN #13-3054425

PART I - Line 19 - Depreciation

	December 31, 2001
Property and Equipment at cost:	
Cooperative apartment	\$ 790,725
Cooperative apartment improvements	242,700
Leasehold improvements	400,535
Equipment	203,359
Furniture and fixtures	<u>405,879</u>
	2,043,198
Accumulated depreciation and amortization	<u>1,235,897</u>
Total	<u><u>\$ 807,301</u></u>
 Depreciation and Amortization:	
Opening accumulated depreciation and amortization at January 1, 2001	\$ 1,151,274
2001 Depreciation expense	82,604
Portion of depreciation expense included in Young Leaders' Project	<u>2,019</u>
Ending accumulated depreciation and amortization at December 31, 2001	<u><u>\$ 1,235,897</u></u>

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS, GRANTS PAID

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

GRANTS PAID

SEE STATEMENT 13A

4,770,126

TOTAL CONTRIBUTIONS PAID

4,770,126.

GRANTS ACCRUED

SEE STATEMENT 13A

2,211,228.

TOTAL APPROVED CONTRIBUTIONS ACCRUED

2,211,228

TOTAL CONTRIBUTIONS PAID AND ACCRUED

6,981,354

United States-Japan Foundation
Investments
As of December 31, 2001
EIN #13-3054425

PART II - Investments (at market)

	<u>December 31, 2001</u>	<u>December 31, 2000</u>
Line 10b:		
Investments - Corporate Stocks	<u>\$ 46,912,861</u>	<u>\$ 53,993,843</u>
Line 13:		
Limited Partnerships	12,650,118	18,675,562
Mutual Funds	25,254,198	24,460,569
Money Market and other temporary investments	<u>1,041,659</u>	<u>1,647,062</u>
	<u>38,945,975</u>	<u>44,783,193</u>
Total Investments	<u><u>\$ 85,858,836</u></u>	<u><u>\$ 98,777,036</u></u>

Due to the voluminous nature of the taxpayer's records, detail backup schedules have not been included with this tax-return filing. However, they are available upon request.

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SECURITY DEPOSITS	35,508.	35,508.	35,508.
INTEREST RECEIVABLE	92,123.	31,705.	31,705.
PREPAID EXCISE TAXES	65,465.	112,768.	112,768.
TOTALS	193,096.	179,981.	179,981.

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION -----	ENDING BOOK VALUE -----
DUE TO BROKER	330,180.
TOTALS	----- 330,180. -----

United States-Japan Foundation
Schedule of Realized Gains/(Losses)
As of December 31, 2001
EIN #13-3054425

PART IV - CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

INVESTMENT ADVISOR	INVESTMENTS		INVESTMENT REALIZED GAIN/(LOSS)
	PROCEEDS	COST	
Montag & Caldwell	\$ 10,536,972	\$ 11,140,127	\$ (603,155)
Miller, Anderson & Sherrerd, LLP	8,529,995	8,692,686	(162,691)
Pacific Investment Management Company - High Yield	1,250,000	1,446,207	(196,207)
Pacific Investment Management Company	750,000	760,347	(10,347)
Rainer - SunTrust	20,389,065	24,021,092	(3,632,027)
Prudential Investments	89,593	92,262	(2,669)
Total - Proceeds and Cost on Security Investments	41,545,625	46,152,721	(4,607,096)
Sit/Kim International Fund, II LLC (Passthrough)	--	--	(1,075,898)
Restart Partners II, LP (Passthrough)	--	--	(268,359)
Onset Enterprises Associates II, LP (Passthrough)	--	--	(202,384)
Vector - Later Stage Equity Fund, LP (Passthrough)	--	--	(12,381)
TCW Special Credits Fund IV (Passthrough)	--	--	(6,308)
TCW DR IV Royalty Partnership (Passthrough)			(3,202)
Gemelli Investors, LP (Passthrough)	--	--	4,330
Farrallon Limited Partnership (Passthrough)	--	--	23,005
Oaktree Capital Management (Passthrough)	--	--	24,528
Sub-total			(6,123,765)
Net realized gains on currency exchange transactions			47,590
Total (Losses) Part IV - Line 2			<u>\$ (6,076,175)</u>

Due to the voluminous nature of the taxpayer's records, detail backup schedules have not been included with this tax-return filing. However, they are available upon request.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION -----	AMOUNT -----
RESTATEMENT OF OPENING NET ASSETS	146,768.

TOTAL	146,768.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED DEPRECIATION ON INVESTMENTS	2,560,023.

TOTAL	2,560,023.
	=====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
THOMAS JOHNSON C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	CHAIRMAN AS NEEDED	3,250.	NONE	NONE
MORIYUKI MOTONO C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE AS NEEDED	2,400.	NONE	NONE
YUSUKE SARAYA C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	BOARD SECRETARY AS NEEDED	1,000.	NONE	NONE
GEORGE R. PACKARD C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	PRESIDENT FULL-TIME	200,000.	27,800.	NONE
JOHN BRADEMAS C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	PRESIDENT EMIRITUS AS NEEDED	1,700.	NONE	NONE
GERALD L. CURTIS C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE AS NEEDED	1,700.	NONE	NONE
ROBIN CHANDLER DUKE	TRUSTEE AS NEEDED	2,050.	NONE	NONE

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016				
WILLIAM FRENZEL C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE AS NEEDED	3,100.	NONE	NONE
SHINJI FUKUKAWA C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	VICE CHAIRMAN AS NEEDED	2,050.	NONE	NONE
THOMAS S. FOLEY C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE AS NEEDED	1,000.	NONE	NONE
T. TIMOTHY RYAN, JR. C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE AS NEEDED	350.	NONE	NONE
YOHEI SASAKAWA C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE AS NEEDED	NONE	NONE	NONE
THOMAS A. BARTLETT C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET	TRUSTEE AS NEEDED	2,050.	NONE	NONE

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT. AND OTHER ALLOWANCES
NEW YORK, NY 10016				
JIRO USHIO C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE AS NEEDED	NONE	NONE	NONE
MARIA CRISTINA MANAPAT-SIMS C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	TREASURER FULL-TIME	91,507.	15,556.	NONE
TAKEO TAKUMA C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	VICE PRESIDENT FULL-TIME	112,410.	19,110.	NONE
MASAKAZU SOKO IZUMI C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE AS NEEDED	NONE	NONE	NONE
YOTARO KOBAYASHI C/O UNITED STATES-JAPAN FOUNDATION 145 EAST 32ND STREET NEW YORK, NY 10016	TRUSTEE AS NEEDED	1,000.	NONE	NONE
GRAND TOTALS		425,567.	62,466.	NONE

UNITED STATES-JAPAN FOUNDATION
EIN #13-3054425
PART XV – SCHEDULES OF GRANTS PAID AND APPROVED FOR FUTURE PAYMENT

Recipient Name and Purpose	Unpaid January 1, 2001	Net Grants Authorized 2001	Paid	Unpaid December 31, 2001
Precollege Education				
Aichi University of Education (Kariya City, Japan) To support the second year of a three-year project which assists Japanese school teachers to increase their awareness about the US, with a view to improving elementary and secondary education about America in Japan	\$ 123,235	\$ (13,311)	\$ 109,924	
Aichi University of Education (Kariya City, Japan) To support the third year of a three-year project that assists Japanese school teachers to increase their awareness about the US, with a view to improving elementary and secondary education about America in Japan		114,361		\$ 114,361
Alliance of Associations of Teachers of Japanese (Boulder, CO) To provide fellowships for ten non-native-speaking precollege teachers of Japanese for intensive language pedagogy training at Middlebury College, program will also be open to other interested applicants on a fee-paying basis	50,000		50,000	
American Forum for Global Education (New York, NY) To support the first year of a two-year bilateral US-Japan study program for teachers and students from Tokyo and New York City high schools	55,750		55,750	
Asia Society (New York, NY) To support the first year of a two-year project to develop an Internet clearinghouse to facilitate connections between Japanese and American elementary-secondary students, teachers, and schools	101,250		101,250	
Asia Society (New York, NY) To support the second year of a two-year project to develop an Internet clearinghouse to facilitate connections between Japanese and American junior and senior high school students, teachers, and schools		149,017	37,254	111,763
Association for Asian Studies, Inc. (Ann Arbor, MI) To support the production and distribution of two special sections in two issues of Education About Asia focusing on ways to integrate Japanese history into world history courses and Japanese literature into literature courses at the secondary level	54,788		36,525	18,263
Capital Children's Museum (Washington, DC) To support the development of a teacher resource guide to be used in conjunction with the Capital Children's Museum Japan Exhibit	24,198			24,198
City College of the City University of New York (New York, NY) To support a bilingual US-Japan studies on-line curriculum for high school teachers in the US and Japan	30,989		30,989	
East Carolina University (Greenville, NC) To support the second year of a three-year joint US-Japan program in teacher professional development in US-Japan studies for precollege teachers from North Carolina to be conducted in cooperation with Osaka University of Education	14,044		14,044	
East Carolina University (Greenville, NC) To support the third year of a three-year joint US-Japan program in teacher professional development in US-Japan studies for precollege teachers from North Carolina to be conducted in cooperation with Osaka University of Education and partner institutions in Japan		91,811	22,903	68,708
Fukuoka University of Education (Munakata City, Japan) To support the second year of a three-year curriculum-development and teacher professional development program for precollege teachers in the Kyushu region	13,572	(1,278)	12,294	
(carried forward)	467,826	340,400	470,933	337,293

UNITED STATES-JAPAN FOUNDATION
EIN #13-3054425
PART XV – SCHEDULES OF GRANTS PAID AND APPROVED FOR FUTURE PAYMENT

Recipient Name and Purpose	Unpaid January 1, 2001	Net Grants Authorized 2001	Paid	Unpaid December 31, 2001
(brought forward)	\$ 467,826	\$ 340,400	\$ 470,933	\$ 337,293
Precollege Education (continued)				
Fukuoka University of Education (Munakata City, Japan)				
To support the third year of a three-year curriculum development and teacher professional development program for precollege teachers in the Kyushu region		113,041	106,754	6,287
Fukushima University (Fukushima, Japan)				
To support the third year of a three-year teacher-training program in American studies for precollege educators	113,719	(12,178)	101,541	
Fukushima University (Fukushima, Japan)				
To support the third year of a three-year teacher-training program in American studies for precollege educators				
Grand Forks Public School District (Grand Forks, ND)				
To support the first year of a three-year program to establish a Japanese Studies class at high schools in Grand Forks, North Dakota and the surrounding area, as well as develop an Internet exchange program for students of Grand Forks, ND and Awano, Japan		27,588	27,588	
Hokkaido University of Education (Sapporo, Japan)				
To support the first year of a three-year American study program for precollege teachers from Hokkaido	13,250	(1,239)	12,011	
Hokkaido University of Education (Sapporo, Japan)				
To support the second year of a three-year American study program for precollege teachers from Hokkaido		117,396	109,917	7,479
Japan Forum (Tokyo, Japan)				
To support the second year of a three-year project to develop a multimedia curriculum based on the real lives of seven Japanese students for use in high school Japanese language courses	278,527	(24,677)	253,850	
Japan-America Society of Washington, DC (Washington, DC)				
To support the fourth year of a national language competition and supporting regional competitions for high school students of Japanese	38,182		38,182	
Japan-America Society of Washington, DC (Washington, DC)				
To support a national language competition and supporting regional competitions for high school students of Japanese		103,065	51,532	51,533
Laurasian Institution (Paris, KY)				
To support the first year of a three-year Japan studies program for eight teams of two teachers and four of their students from the US Great Lakes States	142,500		142,500	
Library of Congress (Washington, DC)				
To support the conservation of fifty Ukiyo-e prints for use in the Library's Fall 2001 Exhibition of Japanese Art and Literature, a special one-time discretionary grant of \$50,000 in honor of the 50 th Anniversary of the San Francisco Peace Treaty	50,000		50,000	
Mississippi State University (Mississippi State, MS)				
To support the first year of a three-year project in Japanese studies for 28 high school social studies teachers from across Mississippi				
Mississippi State University (Mississippi State, MS)				
To support the second year of a three-year project in Japanese studies for 28 high school social studies teachers from across Mississippi		57,170	57,170	
Miyagi University of Education (Sendai, Japan)				
To support the second year of a three-year project to develop and implement an American studies curriculum in elementary, middle and high schools in Miyagi Prefecture and Sendai City through an intensive study program and teacher-developed curricula		109,295	80,285	29,010
(carried forward)	1,104,004	829,861	1,502,263	431,602

UNITED STATES-JAPAN FOUNDATION
EIN #13-3054425
PART XV – SCHEDULES OF GRANTS PAID AND APPROVED FOR FUTURE PAYMENT

Recipient Name and Purpose	Unpaid January 1, 2001	Net Grants Authorized 2001	Paid	Unpaid December 31, 2001
(brought forward)	\$ 1,104,004	\$ 829,861	\$ 1,502,263	\$ 431,602
Precollege Education (continued)				
Ohio State University (Columbus, OH)				
To support a Japan study program for 23 teachers from Ohio, to be held in conjunction with a Japanese Ministry of Education Program that annually sends Japanese precollege teachers to Ohio schools	101,250		101,250	
Osaka University of Education (Osaka, Japan)				
To support the second year of a three-year joint US-Japan program in teacher professional development in US-Japan studies for precollege teachers from Osaka, Hiroshima and Tokushima to be conducted in cooperation with East Carolina University and partner institutions in North Carolina	34,516	(3,228)	31,288	
Osaka University of Education (Osaka, Japan)				
To support the third year of a three-year joint US-Japan program in teacher professional development in US-Japan studies for precollege teachers from Osaka, Hiroshima and Tokushima to be conducted in cooperation with East Carolina University and partner institutions in North Carolina		88,509	41,279	47,230
Shinshu University (Nagano City, Nagano Japan)				
To support the third year of a three-year professional development program in American studies for precollege teachers from the Nagano region		106,100	106,100	
Stanford University, Institute for International Studies (Stanford, CA)				
To support the development and dissemination of a curriculum unit that focuses on US and Japanese relations with Indonesia	19,250		19,250	
The American Forum for Global Education (New York, NY)				
To support the second year of a two-year bilateral US-Japan study program for teachers and students from Tokyo and New York City high schools		212,201	106,100	106,101
The Fletcher School of Law and Diplomacy (Medford, MA)				
A discretionary grant to support the John C. Perry Fellowship Fund		5,000	5,000	
The Fund for Public Schools, Inc. (Queens, NY)				
To support the first year of a three-year Japanese language and cultural exchange program for New York City Public High School students studying Japanese		20,215	20,215	
The Japan Forum (Shinjuku-ku, Japan)				
To support the third year of a three-year project to develop a multimedia curriculum based on the real lives of seven Japanese students for use in high school Japanese language courses		213,717	140,838	72,879
The Japan-America Society of Hawaii (Honolulu, HI)				
In support of the Ehime Maru Fund for the families of the Ehime Maru crew and the Uwajima Fisheries High School		5,000	5,000	
The Lurasian Institution (Tokyo, Japan)				
To support the second year of a three-year Japan studies program for 10 teams of two teachers and four of their students from US Great Lakes States		230,594	57,648	172,946
The Midori Foundation (New York, NY)				
To support the Adopt-A-School Music Program and in honor of the contributions made by Midori to music education in New York City and to encourage Japanese philanthropy in general as a sound investment in improved understanding between the United States and Japan		5,000	5,000	
Trinity College (Hartford, CT)				
To support the Scott M. Johnson Memorial Fund at Trinity College to honor the memory of Scott M. Johnson as well as the family of Mr. Thomas Johnson		50,000	50,000	
(carried forward)	1,259,020	1,762,969	2,191,231	830,758

UNITED STATES-JAPAN FOUNDATION
EIN #13-3054425
PART XV – SCHEDULES OF GRANTS PAID AND APPROVED FOR FUTURE PAYMENT

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(brought forward)	\$ 1,259,020	\$ 1,762,969	\$ 2,191,231	\$ 830,758
Precollege Education (continued)				
University of Arkansas at Little Rock (Little Rock, AR)				
To support the first year (18 months) of a teacher-training program for teachers in urban school districts in the southern United States				
University of Arkansas at Little Rock (Little Rock, AR)				
To support the second year of a three-year teacher-training program for teachers in urban school districts in the southern United States, with additional preparatory activities for a possible third year of the project				
University of Arkansas at Little Rock (Little Rock, AR)				
To support the third year of a three-year teacher-training program for teachers in urban school districts in the southern United States	42,598		42,598	
University of Maryland Foundation (Adelphi, MD)				
To support the third year of a three-year program of Japan and US study and exchange that links four elementary schools in the US with four elementary schools in Japan for mutual learning, instruction, and collaboration on educational exchange		139,770	34,942	104,828
University of Maryland Foundation (Adelphi, MD)				
To support the second year of a three-year program of Japan and US study and exchange that links four elementary schools in the US with four elementary schools in Japan for mutual learning, instruction, and collaboration on educational exchange	143,715		143,715	
University of Oregon (Eugene, OR)				
To support the second year of a three-year project to develop a system of performance standards and assessment instruments for use in K-12 level Japanese language instruction in the US	111,864		111,864	
University of Oregon (Eugene, OR)				
To support the third year of a three-year project to develop a system of performance standards and assessment instruments for use in K-12 level Japanese language instruction in the US		100,097	25,024	75,073
University of Pennsylvania (Philadelphia, PA)				
To support the first year of a three-year teacher-training program in Japan studies for precollege educators in the Greater Philadelphia Region	122,016		122,016	
University of Pennsylvania (Philadelphia, PA)				
To support the second year of a three-year teacher-training program in Japan studies for precollege educators in the Greater Philadelphia Region		186,907	46,727	140,180
University of Tennessee at Chattanooga (Chattanooga, TN)				
To support the first year of a three-year Japan-studies project for high school teachers from Arkansas, Missouri, and Tennessee designed to enhance teaching about Japan in under-served rural and inner-city public schools	146,250		146,250	
University of Tennessee at Chattanooga (Chattanooga, TN)				
To support the second year of a three-year Japan-studies project for high school teachers from Arkansas, Missouri, and Tennessee designed to enhance teaching about Japan in under-served rural and inner-city public schools		247,845	61,961	185,884
University of Ryukyus (Okinawa, Japan)				
To support the first year of a three-year teacher-professional program in American Studies for social studies and English teachers from Okinawa	9,464	(1,229)	8,235	
University of Ryukyus (Okinawa, Japan)				
To support the second year of a three-year teacher-professional program in American Studies for social studies and English teachers from Okinawa		110,745	98,417	12,328
(carried forward)	1,834,927	2,547,104	3,032,980	1,349,051

UNITED STATES-JAPAN FOUNDATION
EIN #13-3054425
PART XV – SCHEDULES OF GRANTS PAID AND APPROVED FOR FUTURE PAYMENT

Recipient Name and Purpose	Unpaid January 1, 2001	Net Grants Authorized 2001	Paid	Unpaid December 31, 2001
(brought forward)	\$ 1,834,927	\$ 2,547,104	\$ 3,032,980	\$ 1,349,051
Precollege Education (continued)				
World Affairs Council of Northern California (San Francisco, CA) To support the second year of a three-year Japan study program for teachers from the Oakland Unified School District	33,750		33,750	
World Affairs Council of Northern California (San Francisco, CA) To support the third year of a three-year Japan study program for teachers from the Oakland Unified School District	97,264		64,843	32,421
Yamaguchi University (Yamaguchi, Japan) To support the first year of a three-year project designed to give teachers and pupils from primary to high school a wider and deeper understanding of America		88,686	82,126	6,560
Subtotal for Precollege Education	<u>1,965,941</u>	<u>2,635,790</u>	<u>3,213,699</u>	<u>1,388,032</u>
US-Japan Policy				
Brookings Institution (CNAPS) (Washington, DC) To support the second year of a three-year project to establish a Center for Northeast Asian Policy Studies (CNAPS) and Japan fellowship program at the Brookings Institution	65,000		65,000	
Carnegie Council of Ethics and International Affairs (New York, NY) To support the second and last phase of a three-year program to study the values in public policy making in Japan, United States, China and India regarding the environment	80,000		40,000	40,000
Columbia University (East Asian Institute) (New York, NY) To support the first year of a two-year project to explore the areas of policy coordination and cooperation between the US and Japan towards Indonesia				
Columbia University (East Asian Institute) (New York, NY) To support the second year of a two-year project to explore the areas of policy coordination and cooperation between the US and Japan towards Indonesia	206,385		206,385	
Harvard University (Cambridge, MA) To support the final conference and dissemination of a policy study on implications of the history of US-China-Japan relations on modern foreign policy	47,550		47,550	
Henry L. Stimson Center (Washington, DC) To support the second year of a two-year pilot researcher-in-residency program, inviting four Japanese fellows to take up residence in Washington, DC and study the latest methods in confidence building measures (CBMs)	52,904		52,904	
International University of Japan (Niigata, Japan) To support the first year of a two-year project to examine how the memory of the Pacific War constrains contemporary US-Japan relations and suggest approaches for developing a "shared memory" that will strengthen the bilateral relationship	47,322	(6,148)	41,174	
International University of Japan (Niigata, Japan) To support the second year of a two-year project to examine how the memory of the Pacific War constrains contemporary US-Japan relations and suggest approaches for developing a "shared memory" that will strengthen the bilateral relationship		122,403	49,507	72,896
Japan Center for International Exchange (JCIE) (New York, NY) To support a series of three public seminars in New York and Washington, DC that bring together veteran and younger Japanese and American scholars on the US-Japan relationship to discuss <i>New Perspectives on US-Japan Relations</i>		25,000	25,000	
(carried forward)	499,161	141,255	527,520	112,896

UNITED STATES-JAPAN FOUNDATION
EIN #13-3054425
PART XV – SCHEDULES OF GRANTS PAID AND APPROVED FOR FUTURE PAYMENT

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(brought forward)	\$ 499,161	\$ 141,255	\$ 527,520	\$ 112,896
US-Japan Policy (continued)				
Japan Society of Northern California(Berkeley, CA)				
To support an 18-month project bringing together young and veteran US-Japan specialists to examine past, present, and likely future of the bilateral relationship in a thematic context, timed to be featured at events marking the 50 th Anniversary of the San Francisco Peace Treaty	60,000		60,000	
Kansai Nichibei Koryu Forum (Osaka, Japan)				
To support the second year of a two-year study to explore whether and what kinds of shared values have emerged between the US and Japan in the post-war period	45,000		45,000	
Nautilus Institute (Berkeley, CA)				
To support the development of an on-line curriculum on energy, security and environment in Northeast Asia	15,017		15,017	
Osaka University (OSIPP) (Toyonaka, Japan)				
To support the second year of a two-year project to provide the analytical framework for building and improving Japan-US cooperation in crisis situations financial, natural disaster, accident or other such challenges		143,458	48,152	95,306
Stanford University (Stanford, CA)				
To support the second year of a two-year project to study the implications of Japan's current recession of Japan's political economy		59,950	59,950	
The Henry L. Stimson Center (Washington, DC)				
To support the first year of a three-year fellowship program that brings four Japanese military/security specialists to Washington, DC annually for an intense two to three-month period of research and networking		100,000	35,000	65,000
Tokyo University (Tokyo, Japan)				
To support the first year of a two-year, binational project bringing pairs of young scholars together to research and discuss the growing disjunction between the bilateral US-Japan alliance and the growing regional security challenges in the region	21,285	(3,180)	18,105	
University of Michigan (Ann Arbor, MI)				
To support an 18-month project to conduct a comparative study of the impact of shifts in women's economic status on family structure in Japan, the United States and Sweden	50,000		50,000	
University of Tokyo (Tokyo, Japan)				
To support the second year of a two-year, binational project bringing pairs of young scholars together to research and discuss the growing disjunction between the bilateral US-Japan alliance and changing regional security challenges in the region		81,711	40,846	40,865
Subtotal US-Japan Policy	<u>690,463</u>	<u>523,194</u>	<u>899,590</u>	<u>314,067</u>
Communications/Public Opinion				
Children's Express (Washington, DC)				
To support the first 12 months of an 18-month collaborative education policy dialogue project led by student journalists in Japan and the United States	60,000		60,000	
Columbia University (Donald Keene Center) (New York, NY)				
To support the second year of a three-year pilot program to invite four leading Japanese intellectual figures in 2000-2001 for a long-term stay in the US involving extensive interaction with Americans around the country	<u>135,000</u>		<u>90,000</u>	<u>45,000</u>
(carried forward)	195,000		150,000	45,000

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EIN #13-3054425
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(brought forward)	\$ 195,000		\$ 150,000	\$ 45,000
Communications/Public Opinion (continued)				
Community Television Foundation of South Florida, Inc. (Miami, FL)				
To support the production by Nightly Business Report of 16 television reports on current issues in Japan-US economic and business relations and the distribution of a curriculum and teacher's guide based on these programs to high school social studies teachers across the United States	90,000		90,000	
East-West Center (Honolulu, HI)				
To send six American and six Japanese Media Editors ("gatekeepers") to each other's countries for a three-week research tour, culminating in a joint conference at East-West Center in Hawaii	25,000		25,000	
East-West Center (Honolulu, HI)				
To support a project that sends six American and six Japanese broadcast/print media editors ("gatekeepers") to each other's countries for a two-week research tour, culminating with a joint conference of the participants at the East-West Center		\$ 99,546	39,546	60,000
Hawaii International Film Festival (Honolulu, HI)				
To support a documentary film of Japan's internationally acclaimed director/writer Itami Juzo, which will show Itami's unique insight into Japanese life and culture, and impact of that same society on him and his work	33,333			33,333
InterAction (Washington, DC)				
To support a project by US and Japanese NGOs to create mechanisms for launching specific NGO-Private Sector cooperative projects in the US-Japan Common Agenda	60,000		60,000	
InterAction American Council for Voluntary Int'l Action (Washington, DC)				
To support a four-month research project that will map out specific activities for US-Japan NGO cooperation on the ground in regional aid projects		34,496		34,496
Japan Society (New York, NY)				
To support the fifth year of a five-year fellowship program for Japanese and American media professionals	73,000		73,000	
Japan Society, Inc. (New York, NY)				
To support an intensive fellowship program for Japanese and American media professionals, allowing them to reside, study and work for two to three months in the other country, focused on a research topic of their choice		250,000	62,500	187,500
National Association of Japan-America Societies (NAJAS) (Washington, DC)				
To provide financial support during NAJAS' restructuring period and allow it to continue to provide programs and services to member societies while it recruits corporate members	90,000		60,000	30,000
National Bureau of Asian Research (Seattle, WA)				
To support a one-year pilot project designed to enhance NBR's Japan-US Discussion Forum by facilitating multi-topic discussions and increasing Japanese participation		36,300	20,000	16,300
National Endowment for Democracy (Washington, DC)				
To support a project that facilitates the travel and participation by Japanese non-profit representatives in the Second Assembly of the World Movement for Democracy and strengthen US-Japan relationships in this field		15,000	15,000	
(carried forward)	566,333	435,342	595,046	406,629

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(brought forward)	\$ 566,333	\$ 435,342	\$ 595,046	\$ 406,629
Communications/Public Opinion (continued)				
Public Radio International (Minneapolis, MN)				
To support coverage of Japan through the <i>Marketplace</i> (US public radio program) Japan Desk		75,000	37,500	37,500
Smithsonian Institution (Washington, DC)				
To support the completion of a shooting script and begin production of The Japanese American Saga, a three-hour series documentary chronicling the history of this ethnic group from pre-emigration to the present		50,000	25,000	25,000
Tokyo Council of Social Welfare (Tokyo, Japan)				
To support the second year of a two-year project to create and carry out a formal "capacity building" program for emerging non-profit organizations (NPO's) in Japan through structured interaction, case studies and classes involving representatives from Japanese and American NPO's		87,529	87,529	
University of Montana (Mansfield Center) (Missoula, MT)				
To support the second year of a two-year project to collect American and Japanese cultural historical artifacts regarding the Pacific War and create a multi-media Internet resource for teaching about human experiences at war	120,000		80,000	40,000
Subtotal for Communications/Public Opinion	<u>686,333</u>	<u>647,871</u>	<u>825,075</u>	<u>509,129</u>
Subtotal	<u>3,342,737</u>	<u>3,806,855</u>	<u>4,938,364</u>	<u>2,211,228</u>
Prior years' awards withdrawn/returned in current year		<u>(168,238)</u>	<u>(168,238)</u>	
Total	<u>\$ 3,342,737</u>	<u>\$ 3,638,617</u>	<u>\$ 4,770,126</u>	<u>\$ 2,211,228</u>

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

APPLICATION SHOULD BE LESS THAN 3 PAGES AND INCLUDE THE FOLLOWING

- 1) DESCRIPTION OF APPLICANT INCLUDING TAX EXEMPT STATUS**
- 2) SUMMARY OF PROPOSED PROJECT**
- 3) PRESENT SOURCE OF FUNDS**
- 4) AMOUNT OF PROPOSED GRANT**